PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6: H04L 9/00, 9/06

A1

(11) International Publication Number:

WO 99/63705

(43) International Publication Date:

9 December 1999 (09.12.99)

(21) International Application Number:

PCT/US99/11647

(22) International Filing Date:

27 May 1999 (27.05.99)

(30) Priority Data:

09/090,620

4 June 1998 (04.06.98)

US

(63) Related by Continuation (CON) or Continuation-in-Part (CIP) to Earlier Application

> US Filed on

09/090,620 (CON) 4 June 1998 (04.06.98)

(71)(72) Applicant and Inventor: COLVIN, David, S. [US/US]; 3786 Ranya Drive, Commerce Township, MI 48382 (US).

(74) Agents: BIR, David, S. et al.; Brooks & Kushman, 22nd floor, 1000 Town Center, Southfield, MI 48075 (US).

(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

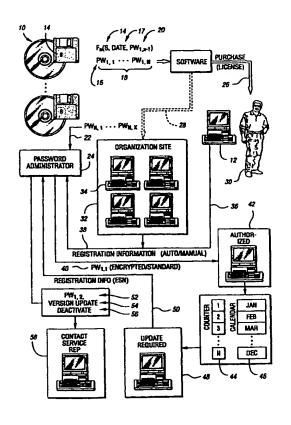
Published

With international search report.

(54) Title: METHOD AND APPARATUS FOR SECURING SOFTWARE TO REDUCE UNAUTHORIZED USE

(57) Abstract

A method and apparatus for securing software to reduce unauthorized use include associating a password (16) or series of passwords (18) with each copy or group of authorized software and requiring entry of a first password obtained from the developer or authorized representative (24) of the software after exchanging registration information (38). The method and apparatus may also require entry of a second password from the series associated with the software to continue using the software. A password (16) or authorization code series may be associated with each authorized copy or with a group of copies such as those distributed to a particular organization or site (32). Preferably, subsequent passwords (16) or authorization codes are obtained from an authorized software developer (24), manufacturer, or distributor which gathers current information from the user (30) to monitor compliance with licensing restrictions. The number and frequency of required password updates may be regular or irregular. A code which disables the software may be communicated if the manufacturer determines that the user (30) is an unauthorized user.



FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

		ES	Spain	LS	Lesotho	SI	Slovenia
AL	Albania	ES Pi	Span Finland	LT	Lithnania	SK	Slovakia
AM	Armenia		Prance	LU	Luxembourg	SN	Senegal
AT	Austria	FR		LV	Latvia	SZ	Swaziland
ΑU	Australia	GA	Gabon	MC	Monaco	TD	Chad
AZ	Azerbaijan	GB	United Kingdom	MD	Republic of Moldova	TG	Togo
BA	Bosnia and Herzegovina	GE	Georgia		-	T.J	Tajikistan
BB	Barbados	GH	Ghana	MG	Madagascar	TM	Turkmenistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TR	Turkey
BF	Burkina Paso	GR	Greece		Republic of Macedonia	TT	Trinidad and Tobago
BG	Bulgaria	HU	Hungary	ML	Mali	UA	Ukraine
BJ	Benin	IE	Ireland	MN	Mongolia		•
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
Cυ	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		•
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

-1-

METHOD AND APPARATUS FOR SECURING SOFTWARE TO REDUCE UNAUTHORIZED USE

Technical Field

The present invention relates to apparatus and methods for reducing unauthorized use of software.

10

15

20

Background Art

Software developers are often victims of illicit copying and unauthorized use of their software in violation of contractual obligations imposed by licensing agreements and subject to civil and criminal penalties under various domestic and foreign laws. Unauthorized entities range from a relatively small percentage of the total users to an overwhelming majority of illegal users. Such unauthorized use not only amounts to theft of the developers' intellectual property, but also reduces the number of programs sold and therefore the associated profitability of the developer. This may ultimately diminish the creative effort expended by the software developers due to the reduced financial incentive. The advent of the internet contributed to the proliferation of pirated software, known as "warez", which is easily located and readily downloaded.

Various strategies have been employed to make
unauthorized duplication and use of software more
difficult. One such approach is to provide a hardware
"key" which is typically installed in the parallel port.

-2-

of the computer to provide a software interlock. If the key is not in place, the software will not execute. This method is relatively expensive for the developer and cumbersome for the authorized user while remaining vulnerable to theft by duplication of the hardware key.

5

10

15

30

Another approach requires the user to enter a serial number or customer identification number during installation of the software. Missing or invalid registration information prevents installation of the software. This approach is easily defeated by transferring the serial number or customer identification number to one or more unauthorized users.

Yet another approach requires registering the software with the manufacturer or distributor to obtain an operational code or password necessary for installation of the software. Again, once the operational code or password is obtained, it may be perpetually transferred along with pirated copies to numerous unauthorized users.

Various copy protection strategies have been employed to reduce the number of unauthorized copies available. This approach is generally disfavored by users who may have a legitimate need to make backup or archival copies or transfer a copy to a new computer or hard drive.

While prior art strategies have enjoyed various levels of success in reducing unauthorized use of software, they often impose a significant burden on the authorized users or are easily defeated by unauthorized users. As such, software developers need an apparatus and/or method for reducing unauthorized use

-3-

of software which does not burden the authorized users to dissuade them from purchasing and using the protected software.

Summary Of The Invention

Thus, one object of the present invention is to provide an apparatus and method for improving software security throughout the lifetime of the software.

Another object of the present invention is to provide an apparatus and method for monitoring the number of users of a software product, both authorized and unauthorized.

15

20

25

Yet another object of the present invention is to provide an apparatus and method for the software manufacturer to maintain contact with the user over an extended period of time.

A further object of the present invention is to provide a method and apparatus for reducing unauthorized use of software which facilitate periodic software updates and forwarding of information, when and if desired.

A still further object of the present invention is to identify those entities responsible for unauthorized copying or use of software so that appropriate action may be taken, such as disabling the software, requesting payment from the user, or seeking civil or criminal penalties.

-4-

Another object of the present invention is to provide a method and apparatus for reducing unauthorized software use which deactivates unauthorized copies when an unauthorized user attempts to obtain a password.

5

10

15

20

25

30

In carrying out the above objects and other objects. features, and advantages of the present invention, a method for securing software includes associating a series of passwords or authorization codes with each copy or group of authorized software, requiring entry of a first password from the series associated with the software upon first use of the software, and subsequently requiring entry of a second password from the series associated with the software to continue using the software. Α password authorization code series may be associated with each authorized copy or with a group of copies such as those distributed to a particular organization or site. Preferably, subsequent passwords or authorization codes are obtained from an authorized software developer, manufacturer, or distributor which gathers current information from the user to monitor compliance with licensing restrictions. The number and frequency of required password updates may be regular or irregular depending upon the application, user, or software manufacturer.

The present invention contemplates, but does not require, more frequent password updates for more complex software because it is generally more costly to develop (and therefore more valuable to users) whereas less costly software would require fewer password updates to reduce administrative costs associated with password maintenance.

-5-

5

10

15

20

25

30

Password or authorization code updates may be obtained automatically or manually. Automatic updates are accomplished using electronic communication between the manufacturer's computer (or authorized an representative) and the user's computer. Updates may be performed by a direct modem connection, via email, a web browser, or the like. The particular time and nature of updates and the user interface utilized to implement the updates may vary by manufacturer or product. Manual are performed by advance periodic notifications generated by the software to alert the user that password updates are required or will be required in the near future. The user may then contact the manufacturer for the specific password update via mail, email, or the telephone, like. Password advisories normally occur prior to the periodic termination of the operating period which may be measured by program starts, elapsed running time, calendar period, etc. Password updates may be in the form of alphanumeric and/or encrypted passwords or of any other conventional type.

Preferably, the user must provide registration information prior to receiving the original or updated or authorization code. password Registration information may be entered by the user or automatically acquired (and transmitted for automatic updates) by the software. Registration information may include a serial number, registration number, TCP/IP address, user name, telephone number, computer specific information, etc. This information may be encoded and/or encrypted to make it less susceptible to tampering by unauthorized users. The registration information is preferably monitored and compared to previously captured information to control the number of authorized copies of software and/or.

-6-

identify unauthorized users. If unauthorized use is suspected, a password or authorization code may be provided which subsequently disables the software, either immediately or after some period of time so that an authorized user is provided an opportunity to rectify the information which caused deactivation.

5

10

15

20

25

A number of advantages result from various implementations of the present invention. For example, the present invention reduces unauthorized use of software without imposing a significant burden on authorized users. The present invention controls the number of copies of authorized software by monitoring registration information and deactivation of suspected copies. Requiring authorized periodically update a password or authorization code provided by a password administrator improves accuracy of contact information for marketing related products and distribution of product updates. The present invention also provides a variable level of software security which can be tailored to the particular application depending upon the value of the application to potential software pirates.

The above advantages and other advantages, objects, and features of the present invention will be readily apparent from the following detailed description of the best mode for carrying out the invention when taken in connection with the accompanying drawings.

Brief Description Of The Drawings

Fig. 1 is a block diagram illustrating various
features of a method and apparatus for securing software
according to the present invention;

-7-

Fig. 2 is a flow diagram illustrating generally a method for securing software according to the present invention; and

Fig. 3 is a more detailed flow diagram illustrating representative embodiments of a method and apparatus for securing software according to the present invention.

5

10

15

20

25

30

Best Mode(s) For Carrying Out The Invention

Referring now to Fig. 1, a block diagram illustrating various features of a method and apparatus for securing software according to the present invention Manufacturers ordevelopers application programs or software which is stored in the data representing program instructions executable by a computer on computer readable media 10. Computer readable media 10 may include any medium capable of storing such instructions which is directly or indirectly readable by a computer, such as computer Computer readable media may include floppy disks, hard drives, CD-ROMs, floptical disks, magnetic tape, and the like. Each copy or group of copies of the software may have an associated serial number, indicated generally by reference numeral 14, and an associated password 16 which may be one of a series of associated passwords 18 as explained in greater detail below. Each password 16 may be an alphanumeric character string which may be encoded or encrypted or a binary or hexadecimal machine readable string to resist tampering by unauthorized users. Passwords 16 within series 18 may be randomly assigned or may be generated using a suitable algorithm, many of which are known in the art. Likewise, passwords 16 may be based on serial number 14,

-8-

a current date or version date 17, and/or a previous password 20 from the series of passwords.

5

10

15

20

25

30

After the password or passwords are created and associated with one or more serial numbers or copies of the software, they may be transferred to representative authorized of the software, represented by arrow 22. such as password administrator 24. Of course, the original manufacturer or developer of the software may also function as password administrator 24. The software may distributed by purchase or more commonly it is licensed as represented by arrows 26 and 28 to individuals 30 and groups 32, respectively. Preferably, the software is distributed to the end users without its associated password 16 which must be obtained from password administrator 24. Alternatively, computer readable media 10 may be distributed with the first password 16 of a series of passwords 18. Each authorized user preferably has software with a unique identifier, such as a serial number, whether the authorized user is an individual, such as user 30, or a group or region, indicated generally by reference numeral 32. However, the same password or series of passwords may be associated with a number of serial numbers to reduce the administrative burden for password administrator 24. For example, each end user 34 associated with organization or site 32 may have the same password or series of passwords. Preferably, not more than one password is distributed with each authorized copy so that the end users will need to contact password administrator 24 to obtain additional passwords for continued use of the software as explained in greater detail below.

-9-

5

10

15

20

25

30

During the initial use or installation of the software on computers 12,34, a password or authorization code will be required by the software to function properly. The end user must contact the authorized representative for the software, such as password administrator 24, to obtain the appropriate authorization code or password as indicated generally by administrator arrows 36. Password 24 obtains registration information 38 from the end user and provides an appropriate password or authorization code to the software as indicated by reference numeral 40. Communication of registration information and the authorization code may be accomplished either manually automatically depending upon the particular application and configuration of the software. communication may be by email, regular mail, telephone, automated voice response system, web browser, direct modem transfer, or the like but requires a varying level of intervention by the end user depending upon the particular type of communication. Automatic communication may use similar methods or means to communicate the information but is performed without user intervention, although the user may be advised or notified that the process is occurring or has occurred.

Registration information 38 may include traditional contact information, such as name, address, email, phone, etc. but preferably includes information which can be obtained without intervention by the end user to improve its veracity. Such information may include identification of a TCP/IP address, originating telephone number, or computer-specific information associated with the end user. Computer-specific information may include an electronic serial number (ESN) which uniquely characterizes the hardware

-10-

configuration of the computer based on information stored in the computer's non-volatile CMOS, registry, motherboard serial number, or the like. Password administrator 24 preferably stores the registration information to be used for various purposes according to the present invention to reduce unauthorized use of For example, password administrator 24 may use the registration information to monitor compliance with licensing terms by determining whether a particular serial number has been installed on more than one computer or by more than one end user. Administrator 24 may compare the registration information with previously received registration information to determine whether to issue an authorization code or password, or to provide a code which disables further operation of the software. The registration information may also be used to contact the end users for marketing new products or versions, or providing software updates.

5

10

15

20

25

30

The password or authorization code communicated to the software as represented by reference numeral 40. Depending upon the particular implementation, the password may be provided to the end user who manually enters the information into the computer 42 to begin or continue using the software. The password or authorization code may be encoded as an alphanumeric string using various numbers and letters represent meaningful information administrator but appear to be randomly generated to the end user. Alternatively, an encryption algorithm may be used to transmit the information. Preferably, the password authorizes the software to execute on computer 42 for a first predetermined period as represented by counter 44 or calendar 46. The predetermined period may vary based on the particular authorized user, the cost .

-11-

of the software, the number of estimated unauthorized copies, etc. For example, it is anticipated that more expensive software would provide a shorter period of authorization to provide a higher level of security. The higher revenue generated by the software offsets any increased administrative expense of password administrator 24 due to the increased frequency of updates required.

5

10

15

20

25

30

As indicated by counter 44 and calendar 46, the authorized period of use may be measured either in calendar days (months, years, etc.) or in execution hours, number of accesses, or the like. Once the authorized period expires, the software requires a new password or authorization code as indicated by reference numeral 48. This may be accomplished automatically and transparently to the end user by electronically contacting password administrator 24 and exchanging current registration information 50. Administrator 24 may compare the current registration information 50 with received registration previously information determine if at least a portion of the information matches for that particular serial number or group of serial numbers. This comparison may be used to determine whether the end user is an authorized user or an unauthorized user.

The information provided to the software by administrator 24 may depend upon whether the user is determined to be authorized or unauthorized. For example, if the user is determined to be an authorized user, a subsequent password 52 from the series of passwords associated with the software serial number or group may be communicated which authorizes the software for an additional operation period. As the software

-12-

5

10

15

20

25

30

becomes less valuable, such as when new versions are released, the authorization period may increase and preferably eventually allows indefinite use of the Of course, an exceedingly long period (10 years for example) may be essentially equivalent to an indefinite period of operation. In addition to a subsequent password, an updated version 54 of the software may be transferred or offered to the end user. If the user is determined to be an unauthorized user, an appropriate message may be transmitted to alert the user to a discrepancy in the registration information, and the operational password may be withheld. Alternatively or in addition, a code 56 which deactivates the software may be communicated. As another alternative. shortened authorization period may be provided along with a password and a message which indicates the end user must contact administrator 24 or another customer service representative to verify the user's status as represented by reference numeral 58. In the event the determined to be unauthorized, password administrator 24 may decline to download a password at which time the software may automatically become inoperative after the current operational period has lapsed.

Referring now to Fig. 2, a flow diagram generally illustrating a method for securing software according to the present invention is shown. A password or series of passwords is associated with a particular copy or group of copies of software prior to distribution (without the password or with only one of a series of passwords) as represented by block 80. A series of passwords may be associated with the software using an appropriate password generation algorithm with parameters which vary based on the particular copy. For

example, a algorithm or mathematical equation or formula may be used to generate passwords with one or more of the parameters of the equation corresponding to letters or characters in the serial number of the software.

5

10

15

20

25

30

For applications which have only a single password for each copy or group of copies, the password may not be distributed with the software so the end user must contact the developer or authorized representative as represented by block 82. For applications with two or more passwords, an initial password may be provided or the software may operate without a password for a first period to provide ample opportunity for the end user to acquire the initial/subsequent Registration information may be required precondition to providing a valid authorization code or password. This allows the developer or authorized representative to monitor compliance with licensing terms and/or take appropriate action for unauthorized users.

password or authorization is communicated to the software as represented by block 84 to make the software operational on the end user's computer. This may be performed automatically, without user intervention, or manually when initiated by the user using various communication channels, such as regular mail, email, web browser, direct modem connection, etc. The method may optionally require periodic updates at regular, irregular, or random intervals based on elapsed running time, calendar time, or the like, as represented by block 86. The software may prompt the user when the end of the authorization period is approaching to provide an opportunity to obtain a subsequent authorization code for continued use

-14-

of the software.

5

10

15

20

25

30

Referring now to Fig. 3, a more detailed flow diagram illustrating a method and/or apparatus for securing software according to the present invention is The software manufacturer or developer (source) produces software which requires initial and/or periodic password updates to become or to remain operational as depicted in box 112. Software may be associated with individual end users, a regional (geographic) or other group of users, or users associated with a particular organization or site. Providing passwords authorization codes for groups rather than individual significantly reduces the number of passwords required and the corresponding administrative overhead including electronic storage and transmission requirements.

Following production by the software manufacturer, the source electronically stores the password information for future transmission to the user as shown in box 114. The password information may be the actual passwords or information used to generate subsequent passwords based on the individual copy or group of copies of the software. The embodiment depicted in Fig. 3 is intended to interlock specific pieces or groups of software with corresponding passwords or authorization codes.

Once the software is acquired by the user 116, the user installs (partially or fully) the software in his computer or computer network 118. Following installation of the software, the user is prompted to register the software and obtain the necessary

-15-

operational password which may be an alphanumeric string which is encoded or encrypted, or a binary (machine readable) code. The user is allowed to choose between automatic or manual registration 120. If automatic registration is selected 122, the program automatically contacts the source via a modem or other connection to obtain the operational password following registration 124.

Once contacted, the source searches for 10 previous registration of the software registration number or user identification 126. If the software has not been previously registered 128, the source transmits the necessary password 130 wherein the software becomes operational 134. If registration 15 information has been previously entered and does not match the current registration information, the source notifies the user of a previous registration of the same software 132 and thereafter takes appropriate action 136. Such action can either include denying the 20 necessary operational password 138, continuing the password download if the source desires 130 or other appropriate action or actions.

Following the initial registration of the software and downloading of the first operational password, the software remains operational for a given interval which may be an operation period or time period (random, regular, or irregular). Once the first interval expires, the program notifies the user of the necessity to obtain the next operating password 140. The user's computer contacts the source via modem 142 and the source determines if previous inquiries have

25

30

-16-

been made for the same user 144 based on the registration information. These step(s) may be fully automated, thereby eliminating the need for user intervention or notifying the user.

5

10

15

20

25

30

The source either transmits the password 148 or notifies the user of a duplicate inquiry 149. duplicate inquiry has been made, the source either declines to download 150 the password so that the software becomes non-operational 152 after the current operational period elapses or the source transmits the password 148 if desired. During any of the contact periods between the source and the user, the source may elect to download software updates or additional information 154. Following the downloading or the necessary operational password, the software becomes or remains operational 156. This sequence is selectively repeated 158 as determined by the authorization interval selected by the source and communicated to the software.

As shown in Fig. 3, the user may have the option of manual registration 160 and password input as opposed to automatic registration. Alternatively, the source may require manual registration to verify the accuracy of at least some of the registration information since it will be used to send the authorization code or password to the user. If the user provides inaccurate information, the password will not be transmitted and the software will not be operational. After initial registration, optionally the user may elect to convert to automatic electronic contact at any Where manual registration is selected 160 (or required), the user contacts the source via telephone,

-17-

mail, email, internet, or the like to obtain the operational password following registration 162.

Once contacted. the source searches for previous registration of the software with the same serial number, registration number or identification 164. If the software has not been previously registered 166, the source transmits the necessary password 168 wherein the software becomes operational 172. If a duplicate registration occurs, the source notifies the user of a previous registration of the same software 170 and thereafter takes appropriate action 174. Such action can either include not providing the necessary operational password 176 or continuing the password transmission if the source desires 168.

5

10

15

20

25

30

Following the initial registration of the software and transmission of the first operational password, the software remains operational for a given operation interval after which the software notifies the user of the necessity to obtain the next operating password 178. The user contacts the source via telephone or by mail 182 and the source determines if previous inquiries have been made for the same user 184. The user may elect to convert to automatic electronic registration during this period 180, however, this step is optional.

The source either transmits the password 188 or notifies the user of a duplicate inquiry 190. If a duplicate inquiry has been made, the source either.

-18-

declines to download the password 196 (after which the software becomes non-operational 198) or the source transmits the password 188 if desired. During any of the contact periods between the source and the user, the source may elect to transmit software updates or additional information 192. Following the downloading or the necessary operational password the software becomes or remains operational 194. The sequence for successive operation intervals may then be repeated at the source's discretion 200.

5

10

15

20

25

It is understood that the representative methods of the present invention do not need to continue after initial registration and password transmission. Likewise, the process may me discontinued at some point in time by downloading a lifetime password which authorizes the software indefinitely. For example, this may be desirable after the software is deemed obsolete. It is further understood that the specific sequencing of events is not necessary for the proper implementation of the present invention. The invention further allows for compatibility with existing software or other security measures.

While the best modes for carrying out the invention have been described in detail, those familiar with the art to which this invention relates will recognize various alternative methods for carrying out the invention as described by the following claims.

What Is Claimed Is:

5

 A method for securing software to reduce unauthorized use of the software, the method comprising: requiring entry of a first password upon first use of the software; and

subsequently requiring entry of another password to continue using the software.

- The method of claim 1 further comprising:
 associating a series of passwords with the
 software for each authorized user prior to distribution of the software.
 - 3. The method of claim 2 wherein the authorized user is a group having a separate copy of the software for each of at least two end users.
- 15 4. The method of claim 1 further comprising including not more than one of the passwords with the software for distribution to each authorized user.
- 5. The method of claim 1 further comprising obtaining at least one password from an authorized representative of the software.
 - 6. The method of claim 5 wherein the step of obtaining comprises electronically communicating with the authorized representative.
- 7. The method of claim 1 further comprising periodically repeating the step of subsequently requiring entry of another password for continued operation of the software.

- 8. The method of claim 1 further comprising repeating the step of subsequently requiring entry of another password at regular intervals.
- 9. The method of claim 1 further comprising: requiring communication of registration information associated with the end user to an authorized representative of the software prior to communicating the password to the software.

5

15

20

10. A method of securing software to reduce
10 unauthorized use, the method comprising:

associating a password with the software, the password not being distributed concurrently with the software;

requiring an end user to contact a representative to obtain a password previously associated with the software; and

communicating a password previously associated with the software to the software, wherein the software is not functional until the password has been communicated.

- 11. The method of claim 10 wherein the step of communicating comprises electronically communicating the password.
- 12. The method of claim 10 wherein the step of communicating is performed automatically by the software and the authorized representative.
 - 13. The method of claim 10 further comprising:

obtaining registration information associated
with the end user as a precondition for performing the

-21-

step of communicating the password.

5

15

- 14. The method of claim 13 wherein the step of obtaining registration information is performed substantially simultaneously with the step of communicating the password.
- 15. The method of claim 13 wherein the step of obtaining registration information comprises obtaining registration information from a computer on which the software has been installed.
- 10 16. The method of claim 10 further comprising:

obtaining registration information associated with the end user; and

encoding the registration information so it is not readily discernible.

- 17. The method of claim 10 wherein the step of communicating comprises communicating the password to the end user enabling the end user to supply the password to the software.
- 20 18. The method of claim 10 wherein the step of associating a password comprises associating a series of passwords with the software.
 - 19. The method of claim 18 further comprising:
- periodically requiring a new password, the new password being obtained from the series of passwords previously associated with the software.
 - 20. The method of claim 19 wherein the step

5

25

PCT/US99/11647

of periodically requiring a new password is performed at regular intervals.

- 21. The method of claim 19 wherein the step of periodically requiring a new password is performed at intervals based on elapsed execution time of the software.
- 22. The method of claim 19 wherein the step of periodically requiring a new password is performed at intervals based on elapsed time.
- 10 23. The method of claim 19 wherein the step of periodically requiring a new password is performed at predetermined intervals.
 - 24. The method of claim 18 further comprising:
- periodically requiring registration information associated with the end user to obtain a new password, the new password being obtained from the series of passwords previously associated with the software.
- 20 25. The method of claim 24 further comprising:

comparing the registration information with previously obtained registration information associated with the software to determine whether the end user is an authorized user.

26. The method of claim 25 further comprising:

providing a new password only if at least a portion of the registration information matches

-23-

previously obtained registration information.

5

10

20

25

27. The method of claim 10 further comprising:

periodically requiring a new password for continued operation of the software, the new password being obtained from the representative.

28. The method of claim 10 further comprising:

periodically requiring a new password for continued operation of the software, the new password being obtained from the representative after providing registration information associated with the end user;

comparing the registration information with previously obtained registration information;

providing a new password which allows continued operation of the software if the step of comparing indicates the end user is an authorized user; and

providing a new password which disables the software if the step of comparing indicates the end user is an unauthorized user.

29. The method of claim 10 further comprising:

obtaining registration information associated with the end user as a precondition for performing the step of communicating the password; and

modifying the password based on the registration information.

30. The method of claim 10 further comprising determining a new password using a previous password.

-24-

31. A computer readable storage medium having data stored therein representing software executable by a computer, the software including instructions to reduce use of the software by unauthorized users, the storage medium comprising:

instructions for requiring an end user to contact an authorized representative to obtain a password associated with the software; and

instructions for disabling the software until the password has been communicated to the software.

5

30

32. The computer readable storage medium of claim 31 further comprising:

instructions for obtaining registration information associated with the end user.

33. The computer readable storage medium of claim 31 further comprising:

instructions for periodically requiring entry of a new password for continued operation of the software.

34. The computer readable storage medium of claim 33 further comprising:

instructions for disabling the software after a predetermined password is communicated to the software.

25 35. The computer readable storage medium of claim 33 further comprising:

instructions for automatically contacting an authorized representative of the software to communicate registration information and obtaining authorization for continued operation of the software.

-25-

36. The computer readable storage medium of claim 35 further comprising:

instructions for encoding the registration information.

37. A method of securing software to reduce use of the software by unauthorized users, the method comprising:

associating a series of passwords with each unit of software;

requiring communication of registration information to an authorized representative for the software to receive a first password from the series of passwords associated with the software, the registration information being associated with an end user of the software;

periodically repeating the step of requiring communication of registration information to obtain a subsequent password from the series of passwords for continued operation of the software.

- 38. A method of securing software to reduce unauthorized use of the software, the method comprising requiring communication of registration information associated with a user of the software to obtain a password which enables operation of the software.
- 25 39. A method of securing software to reduce unauthorized use of the software, the method comprising requiring periodic communication of registration information associated with a user of the software to obtain a password which enables continued operation of the software.

-26-

40. A method for reducing unauthorized use of software, the method comprising:

requiring an end user to contact an authorized representative of the software upon initial use of the software by the end user;

communicating registration information associated with the end user to the authorized representative; and

disabling the software if the registration information indicates that the end user is an unauthorized user.

5

15

41. A method for reducing unauthorized use of software, the method comprising:

requiring registration information to be entered during initial use of the software;

communicating the registration information to an authorized representative of the software;

determining whether the software has been previously registered;

if the software has been previously registered, determining whether the registration information matches at least a portion of the previous registration information;

communicating an authorization code to the
software upon receiving the registration information if
the software has not been previously registered or if
the registration information matches at least a portion
of the previous registration information, the
authorization code allowing continued operation of the
software for a first predetermined period;

after the first predetermined period has expired, requiring a subsequent authorization code for continued operation of the software, the subsequent authorization code being communicated only if.

-27-

registration information indicates the end user is an authorized user based on previously received registration information.

42. A method of securing software to reduce unauthorized use, the method comprising:

5

10

15

associating a plurality of passwords with the software and distributing one of the plurality of passwords concurrently with the software;

requiring an end user to contact a representative another one of the passwords previously associated with the software; and

communicating one of the passwords previously associated with the software to the software, wherein the software remains functional only until the password expires.

- 43. The method of claim 42 wherein the step of communicating comprises electronically communicating the password.
- 44. The method of claim 42 wherein the step 20 of communicating is performed automatically by the software and the representative.
 - 45. The method of claim 42 further comprising:

obtaining registration information associated
with the end user as a precondition for performing the step of communicating the password.

- 46. The method of claim 42 further comprising:
- periodically requiring a new password for continued operation of the software, the new password.

-28-

being obtained from the representative.

5

15

20

47. The method of claim 42 further comprising:

periodically requiring a new password for continued operation of the software, the new password being obtained from the representative after providing registration information associated with the end user;

comparing the registration information with previously obtained registration information;

providing a new password which allows continued operation of the software if the step of comparing indicates the end user is an authorized user; and

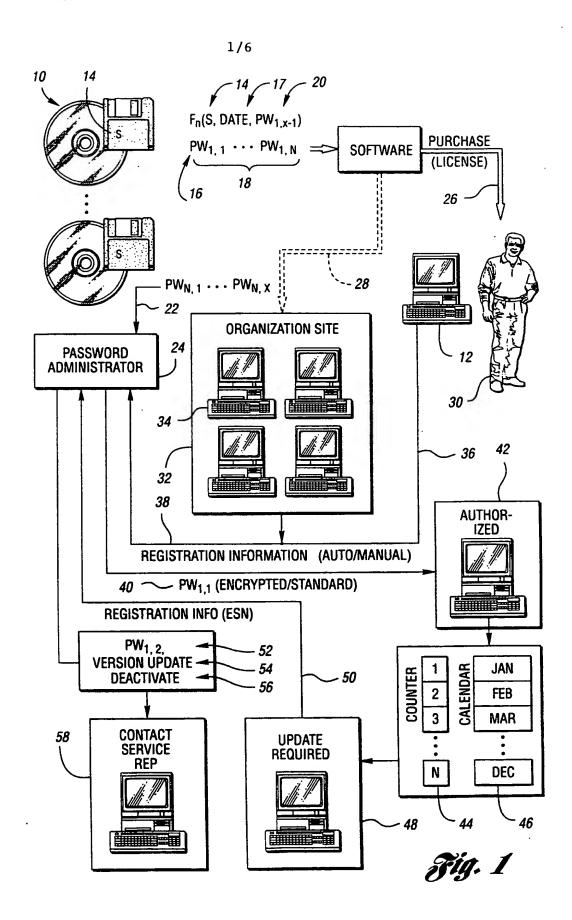
providing a new password which disables the software if the step of comparing indicates the end user is an unauthorized user.

48. The method of claim 42 further comprising:

obtaining registration information associated with the end user as a precondition for performing the step of communicating the password; and

modifying the password based on the registration information.

49. The method of claim 42 further comprising determining a new password using a previous password.



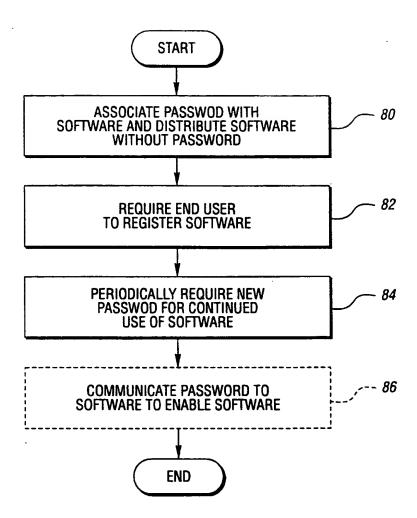
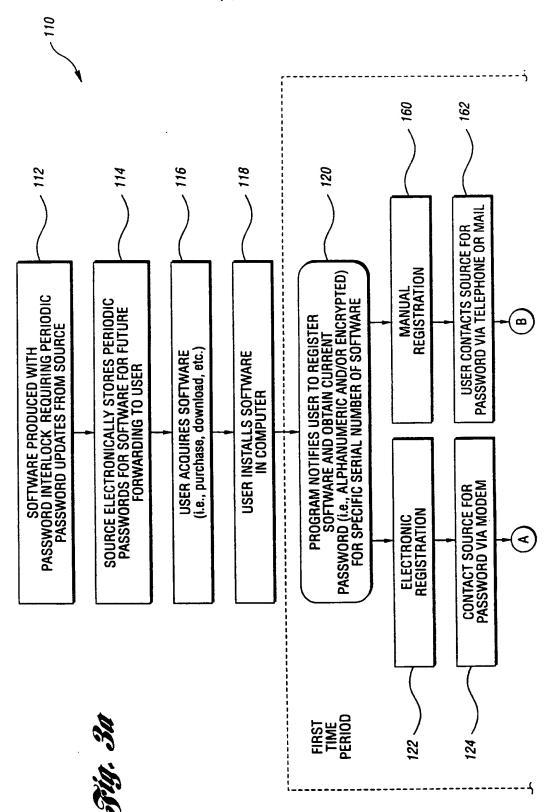
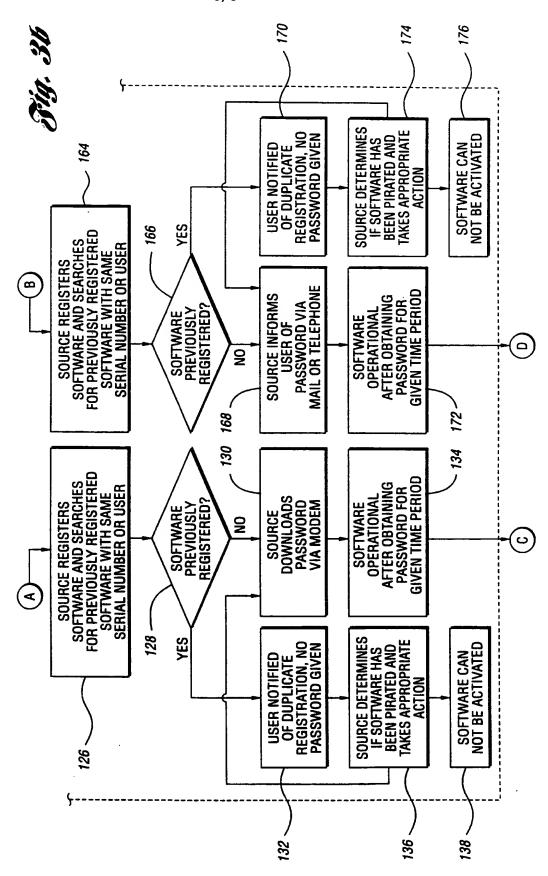
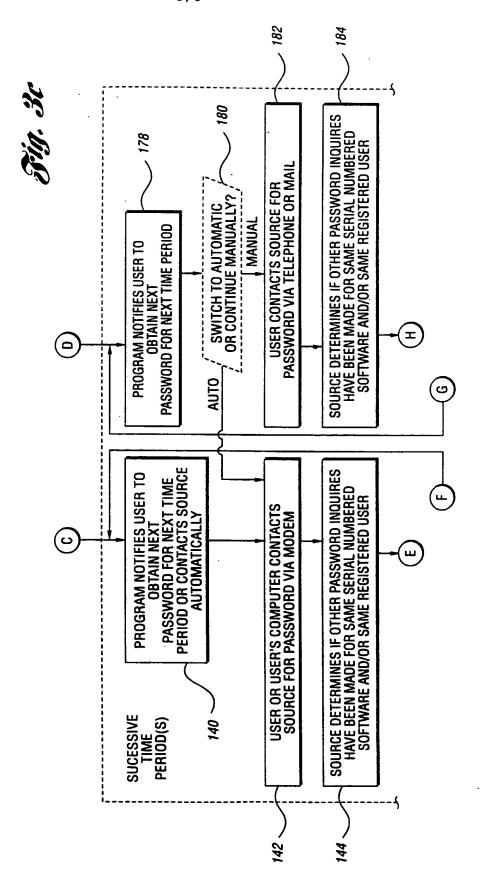


Fig. 2



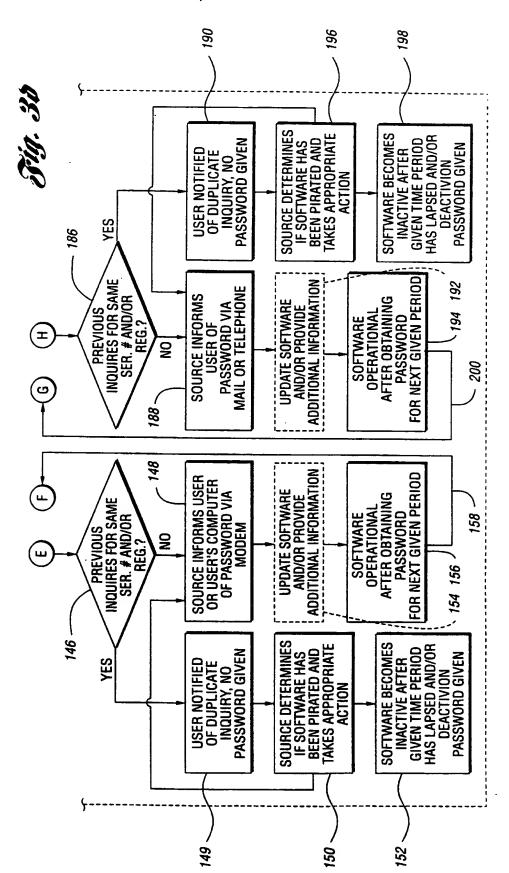






Ċ





INTERNATIONAL SEARCH REPORT

International application No. PCT/US99/11647

A. CLASSIFICATION OF SUBJECT MATTER IPC(6) : H04L 09/00, 09/06								
US CL	US CL : 713/200, 201, 202; 709/229; 380/3, 4, 23							
	to International Patent Classification (IPC) or to both	national classification and IPC						
B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols)								
U.S.: 713/200, 201, 202; 709/229; 380/3, 4, 23								
Documentat	tion searched other than minimum documentation to the	extent that such documents are included	in the fields searched					
Electronic d	lata base consulted during the international search (na	me of data base and, where practicable,	, search terms used)					
Please See Extra Sheet.								
C. DOCUMENTS CONSIDERED TO BE RELEVANT								
Category*	Citation of document, with indication, where app	propriate, of the relevant passages	Relevant to claim No.					
x	US 5,652,793 A (PRIEM ET AL) 29	JULY 1997, COL 1, LINES	10-12,31					
 V	65-67, COL 3 TO COL 6							
Y			1 - 7 , 9 , 1 3 - 18,32,37,40-4 4					
x	US 5,509,070 A (SCHULL) 16 APRI	L 1996, COL 1, LINES 52-	38					
	65,COL 5, LINES 59-67, COL 6, LIN							
Y	58, COL 9, LINES 58-65, COL 10, LI 46-67, COL 20, LINES 29-33	NES 16-25, COL 17, LINES	1,2,7,9,13- 18,32,40,41					
x	US 5,579,479 A (PLUM) 26 NOVEME 56	39						
Y,P	US 5,842,124 A (KENAGY ET AL) 24 COL 7, LINES 57-67, COL 8, LINES 60, COL 11, LINES 33-45		2,18,37,42,45					
Furth	er documents are listed in the continuation of Box C	. See patent family annex.						
·	ecial categories of cited documents:	"T" later document published after the inte date and not in conflict with the appl						
	cument defining the general state of the art which is not considered be of particular relevance	the principle or theory underlying the	invention					
1. do	ther document published on or after the international filing date cument which may throw doubts on priority claim(s) or which is	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone						
ent spe	ed to establish the publication date of another citation or other citation (as specified)	"Y" document of particular relevance; the						
	cument referring to an oral disclosure, use, exhibition or other	combined with one or more other such being obvious to a person skilled in t	documents, such combination					
	cument published prior to the international filing date but later than priority data claimed	"A" document member of the same patent family						
Date of the 28 JULY	actual completion of the international search	Date of mailing of the international search report 24 AUG 1999						
	nailing address of the ISA/US	Authorized officer						
Box PCT	ner of Patents and Trademarks n. D.C. 20231	CHRISTOPPEN COREVER Matthews						
_	n. D.C. 20231 In (703) 305-3230	Telephone No. (703) 305-9600						

INTERNATIONAL SEARCH REPORT

International application No. PCT/US99/11647

r						
	B FIELDS SEARCHED Electronic data bases consulted (Name of data base and where practicable terms used):					
	APS. JPO, EPO, IEEE Electronic Library, NonPatent Literature search terms: request, require, desire, ask, password, software, application, refresentative, developer, issuer, administrator, distributor, initial, enable, activate, series, multiple, additioanl, partial, plurality, temporary, multiple, trial, limited, license, registration, protected					